

# ADVANCED RESEARCH PROJECTS AGENCY (ARPA-E)

MARK JOHNSON  
PROGRAM DIRECTOR

Workshop on Rare Earths  
Arlington, VA

December 2010



***Gentlemen, we have run out of money.  
It is time to start thinking.***

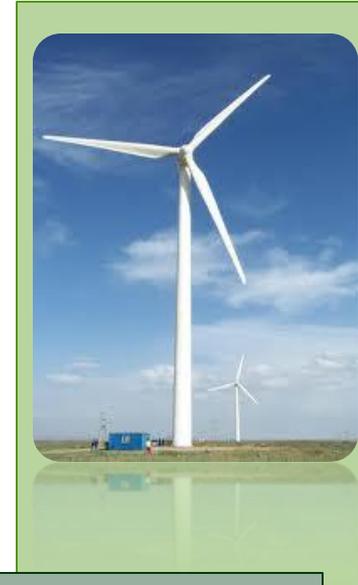
**Sir Ernest Rutherford**

# Key elements in clean energy supply chain

## From DOE Wide Analysis



1 <b>H</b> Hydrogen 1.00794																	2 <b>He</b> Helium 4.003						
3 <b>Li</b> Lithium 6.941	4 <b>Be</b> Beryllium 9.012182																	5 <b>B</b> Boron 10.811	6 <b>C</b> Carbon 12.0107	7 <b>N</b> Nitrogen 14.00674	8 <b>O</b> Oxygen 15.9994	9 <b>F</b> Fluorine 18.9984032	10 <b>Ne</b> Neon 20.1797
11 <b>Na</b> Sodium 22.989770	12 <b>Mg</b> Magnesium 24.3050																	13 <b>Al</b> Aluminum 26.981538	14 <b>Si</b> Silicon 28.0855	15 <b>P</b> Phosphorus 30.973761	16 <b>S</b> Sulfur 32.066	17 <b>Cl</b> Chlorine 35.4527	18 <b>Ar</b> Argon 39.948
19 <b>K</b> Potassium 39.0983	20 <b>Ca</b> Calcium 40.078	21 <b>Sc</b> Scandium 44.955910	22 <b>Ti</b> Titanium 47.867	23 <b>V</b> Vanadium 50.9415	24 <b>Cr</b> Chromium 51.9961	25 <b>Mn</b> Manganese 54.938049	26 <b>Fe</b> Iron 55.845	27 <b>Co</b> Cobalt 58.933200	28 <b>Ni</b> Nickel 58.6934	29 <b>Cu</b> Copper 63.546	30 <b>Zn</b> Zinc 65.39						31 <b>Ga</b> Gallium 69.723	32 <b>Ge</b> Germanium 72.61	33 <b>As</b> Arsenic 74.92160	34 <b>Se</b> Selenium 78.96	35 <b>Br</b> Bromine 79.904	36 <b>Kr</b> Krypton 83.80	
37 <b>Rb</b> Rubidium 85.4678	38 <b>Sr</b> Strontium 87.62	39 <b>Y</b> Yttrium 88.90585	40 <b>Zr</b> Zirconium 91.224	41 <b>Nb</b> Niobium 92.90638	42 <b>Mo</b> Molybdenum 95.94	43 <b>Tc</b> Technetium (98)	44 <b>Ru</b> Ruthenium 101.07	45 <b>Rh</b> Rhodium 102.90550	46 <b>Pd</b> Palladium 106.42	47 <b>Ag</b> Silver 107.8682	48 <b>Cd</b> Cadmium 112.411	49 <b>In</b> Indium 114.818	50 <b>Sn</b> Tin 118.710	51 <b>Sb</b> Antimony 121.760	52 <b>Te</b> Tellurium 127.60	53 <b>I</b> Iodine 126.90447	54 <b>Xe</b> Xenon 131.29						
55 <b>Cs</b> Cesium 132.90545	56 <b>Ba</b> Barium 137.327	57 <b>La</b> Lanthanum 138.9055	72 <b>Hf</b> Hafnium 178.49	73 <b>Ta</b> Tantalum 180.9479	74 <b>W</b> Tungsten 183.84	75 <b>Re</b> Rhenium 186.207	76 <b>Os</b> Osmium 190.23	77 <b>Ir</b> Iridium 192.217	78 <b>Pt</b> Platinum 195.078	79 <b>Au</b> Gold 196.96655	80 <b>Hg</b> Mercury 200.59	81 <b>Tl</b> Thallium 204.3833	82 <b>Pb</b> Lead 207.2	83 <b>Bi</b> Bismuth 208.98038	84 <b>Po</b> Polonium (209)	85 <b>At</b> Astatine (210)	86 <b>Rn</b> Radon (222)						
87 <b>Fr</b> Francium (223)	88 <b>Ra</b> Radium (226)	89 <b>Ac</b> Actinium (227)	104 <b>Rf</b> Rutherfordium (261)	105 <b>Db</b> Dubnium (262)	106 <b>Sg</b> Seaborgium (263)	107 <b>Bh</b> Bohrium (262)	108 <b>Hs</b> Hassium (265)	109 <b>Mt</b> Meitnerium (266)	110 (269)	111 (272)	112 (277)												



58 <b>Ce</b> Cerium 140.116	59 <b>Pr</b> Praseodymium 140.90765	60 <b>Nd</b> Neodymium 144.24	61 <b>Pm</b> Promethium (145)	62 <b>Sm</b> Samarium 150.36	63 <b>Eu</b> Europium 151.964	64 <b>Gd</b> Gadolinium 157.25	65 <b>Tb</b> Terbium 158.92534	66 <b>Dy</b> Dysprosium 162.50	67 <b>Ho</b> Holmium 164.93032	68 <b>Er</b> Erbium 167.26	69 <b>Tm</b> Thulium 168.93421	70 <b>Yb</b> Ytterbium 173.04	71 <b>Lu</b> Lutetium 174.967
90 <b>Th</b> Thorium 232.0381	91 <b>Pa</b> Protactinium 231.03588	92 <b>U</b> Uranium 238.0289	93 <b>Np</b> Neptunium (237)	94 <b>Pu</b> Plutonium (244)	95 <b>Am</b> Americium (243)	96 <b>Cm</b> Curium (247)	97 <b>Bk</b> Berkelium (247)	98 <b>Cf</b> Californium (251)	99 <b>Es</b> Einsteinium (252)	100 <b>Fm</b> Fermium (257)	101 <b>Md</b> Mendelevium (258)	102 <b>No</b> Nobelium (259)	



Vehicles

Lighting

Solar PV

Wind

# Key elements in clean energy supply chain

## From DOE Wide Analysis by DOE/PI



**Traditional Energy**

**Smart Grid**

**Vehicles**

**Lighting**

**Solar PV**

**Wind**



# Technology Opportunity Areas for Study



Vehicles

Lighting

Solar PV

Wind

Traditional Energy

Smart Grid

Batteries

Phosphors

Transparent Contacts

Permanent Magnets

Oxygen Separators

Catalysts

Opportunities  
For New, Disruptive  
Approaches to  
Technology Needs

Develop Metrics  
which Drive New  
Learning Curves and  
Approaches



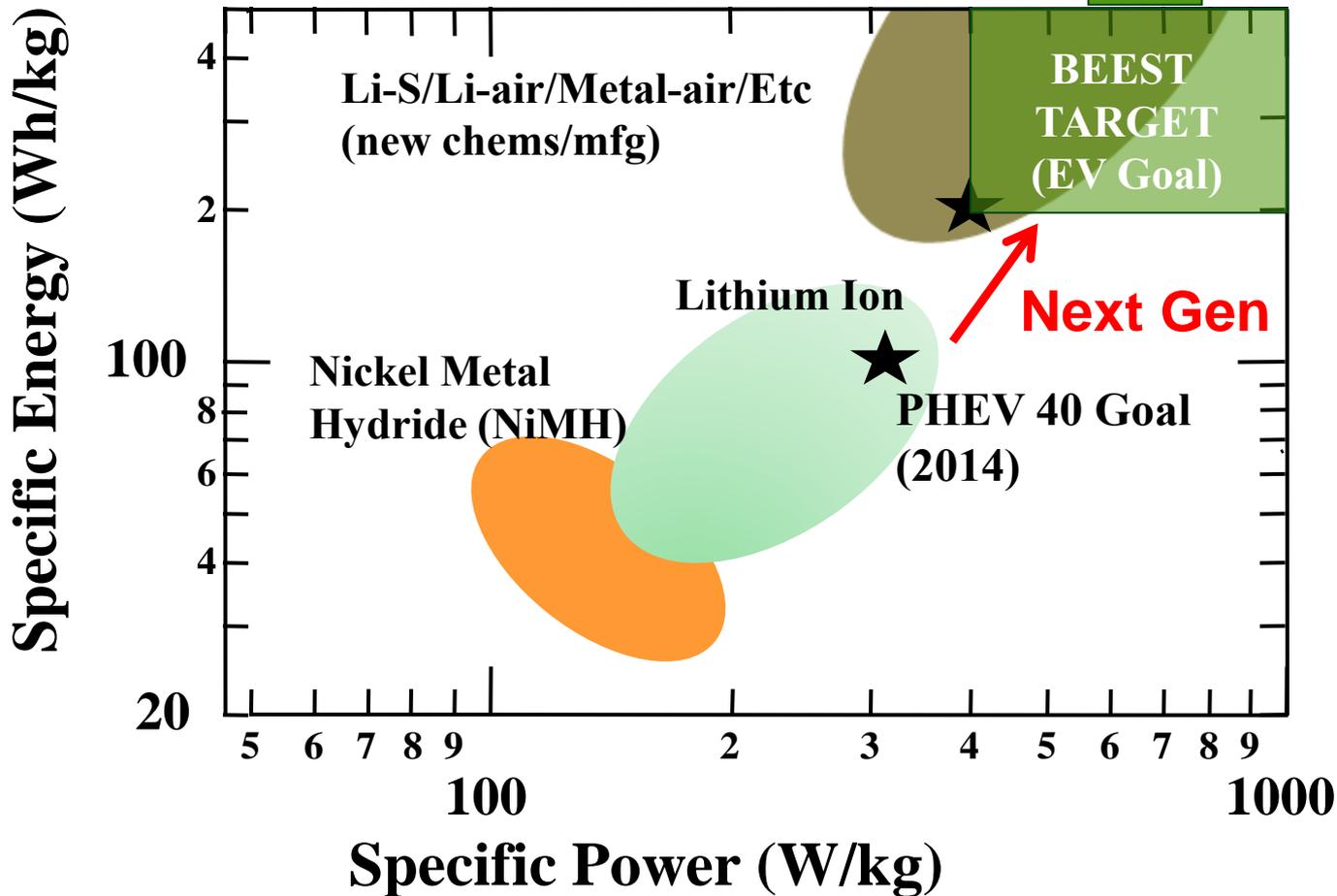
We have a rare earth materials challenge.

**THIS WORKSHOP  
IS ABOUT IDENTIFYING  
POSSIBLE SOLUTIONS**

# Batteries for Electrical Energy Storage in Transportation (BEEST) Program Targets



## Battery System Requirements



## Storage Cost

**Current Target:**  
\$1,000/kWh

**BEEST Target:**  
\$250/kWh

# BEEST Portfolio



**Ultra-High Cycles**

10 Advanced Prototyping Projects: \$47.1M

4 Seedlings: \$5.7M

TOTAL: \$52.8M/3 years

**System Targets:**  
200-400 Wh/kg  
300-800 Wh/L

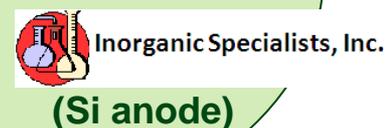
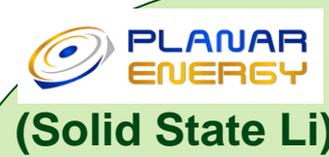
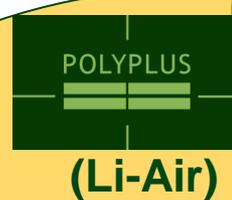
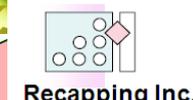
Upside ↑

**Ultra-High Energy**

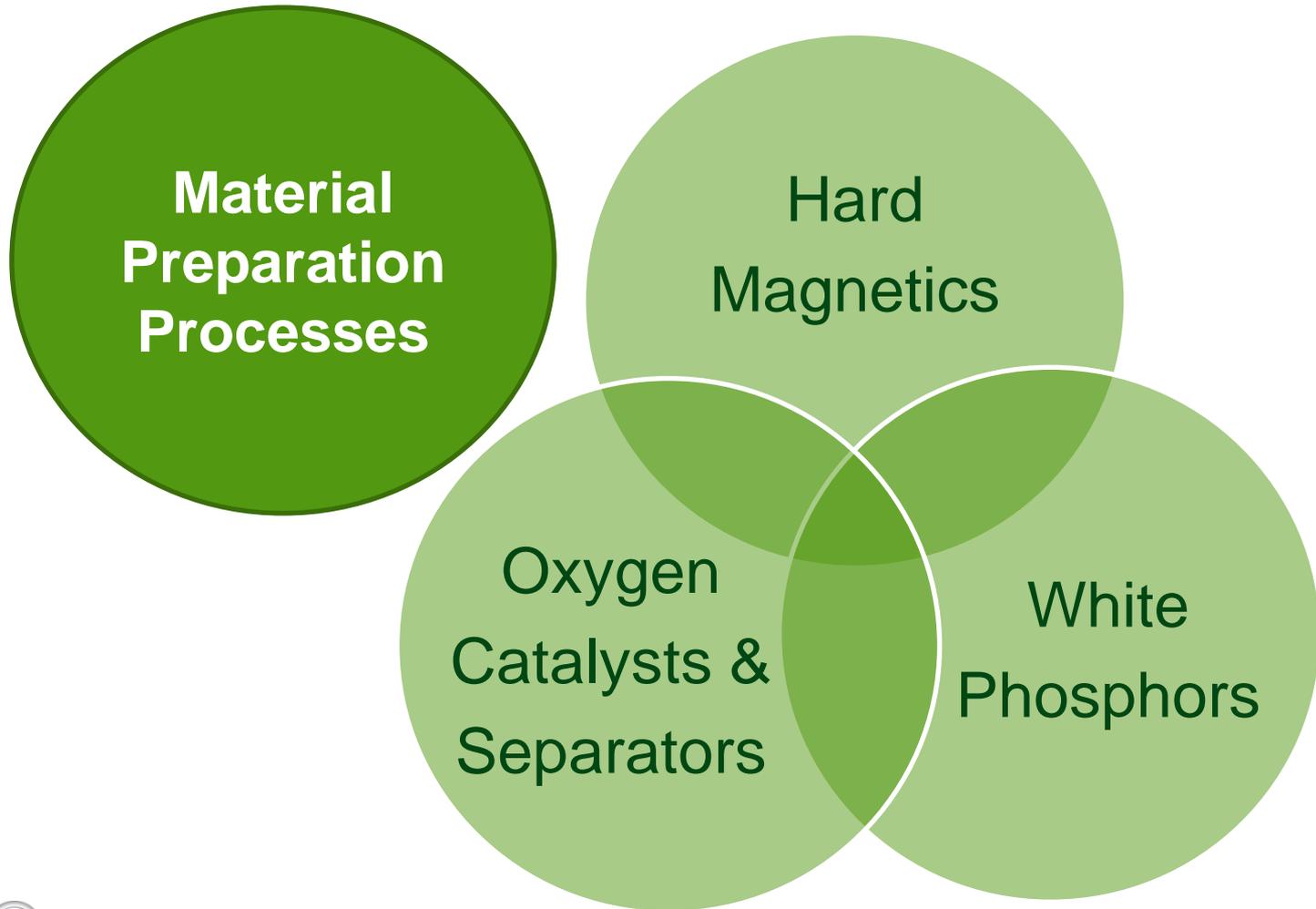
**24M**  
(Flow Batt)

**Advanced Lithium**

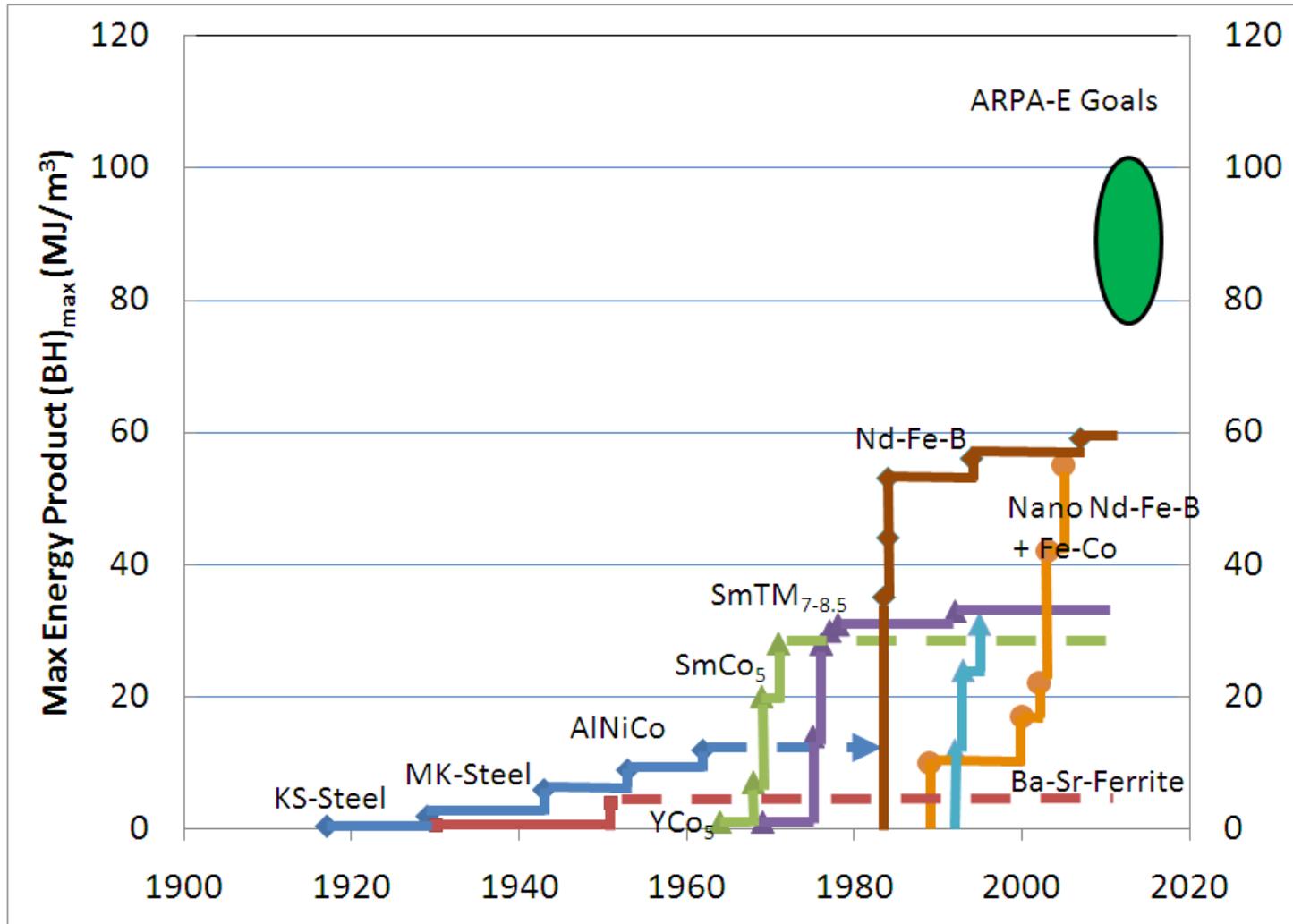
**Infrastructure Compatible High Energy Materials**



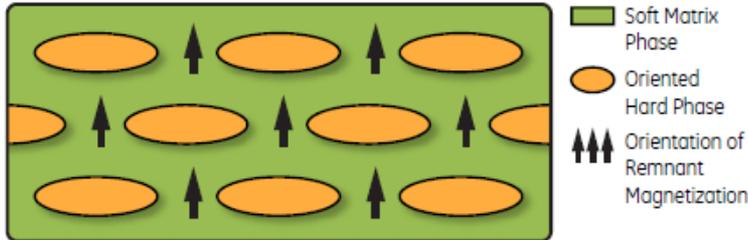
# Technology Domains



# ARPA-E New magnet structure and chemistry have disruptive potential



# Current program – ARPA-E *Transformational nanostructured permanent magnets (PM)*



10 nm

**Core@Shell Hard/Soft Exchange  
Spring Coupled Nanocomposite  
Magnets with:**

- 80 MGOe (vs 59 MGOe NdFeB)
- 59 MGOe with 80% less rare earth

## Hard Phase

$$H_c = 10,000 - 12,000 \text{ Oe}$$

$$M_r = 11-15 \text{ kG}$$

## Soft Phase:

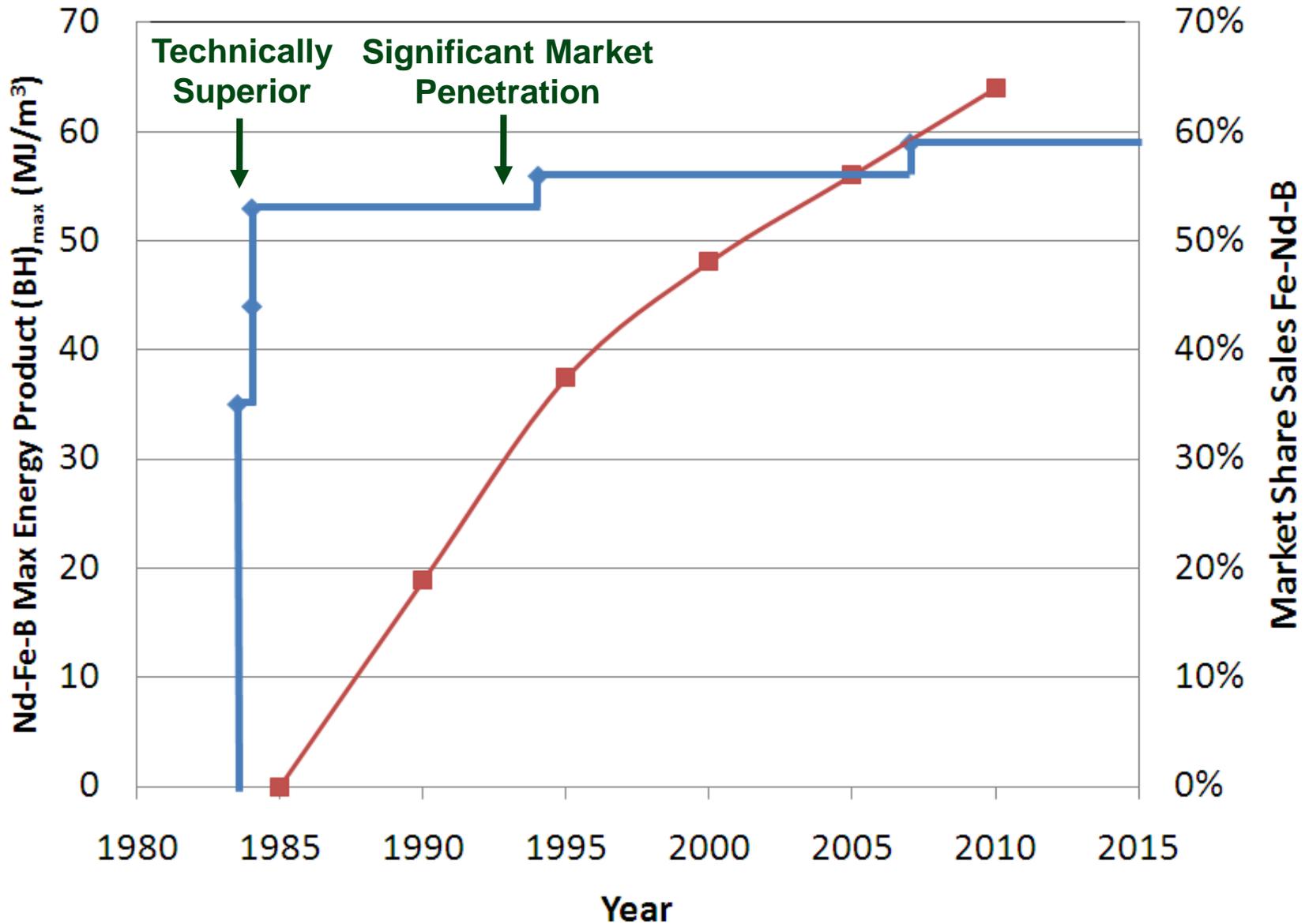
$$H_c = \sim 0.05 \text{ Oe}$$

$$M_r = \sim 22 \text{ kG}$$

**Nanocomposite exchange spring coupled permanent magnets with high energy product and less rare earths**



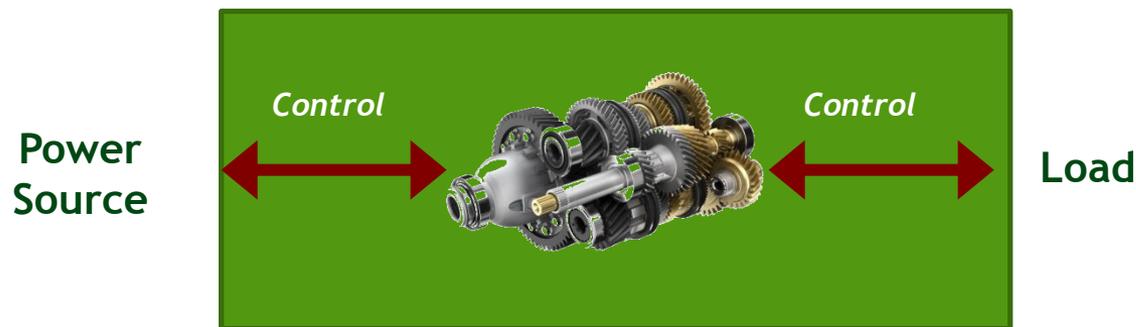
# SIGNIFICANT MARKET PENETRATION WITHIN TEN YEARS WITH Nd-Fe-B DISRUPTIVE TECHNOLOGY



# WHAT IS POWER ELECTRONICS?



*Power electronics is the electrical version of a gear box/transmission*



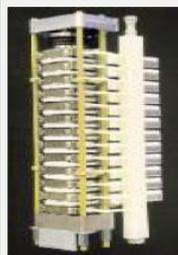
*Imagine driving a car always in 4<sup>th</sup> gear...*

*...imagine what this would do to fuel efficiency and drivability*

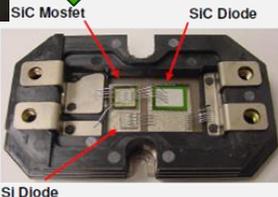
# Power electronics need improvement in applications across the entire energy sector (ADEPT)



## Distribution & Transmission

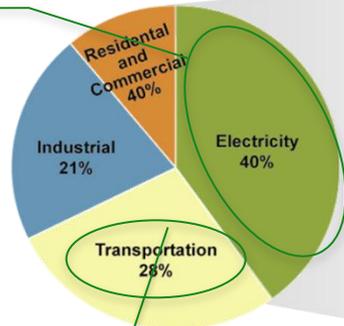


>13 kV,  
50kHz SiC transistors

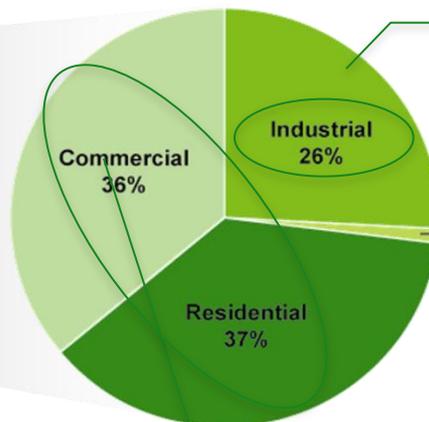


Si Diode

Primary Energy Use by Sector, 2008



Share of Electricity Consumed by Major Sectors of the Economy, 2008



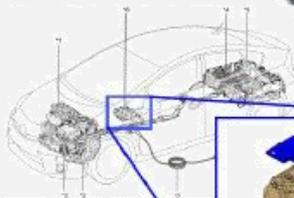
## Industrial

Inverter drives motor



## Automotive

Toyota Prius PHEV



Present Plug-In Charger

Proposed Next Generation SiC High Frequency Charger



10x Size/Cost Reduction

## Lighting

Existing 25 W AC-DC SSL Driver



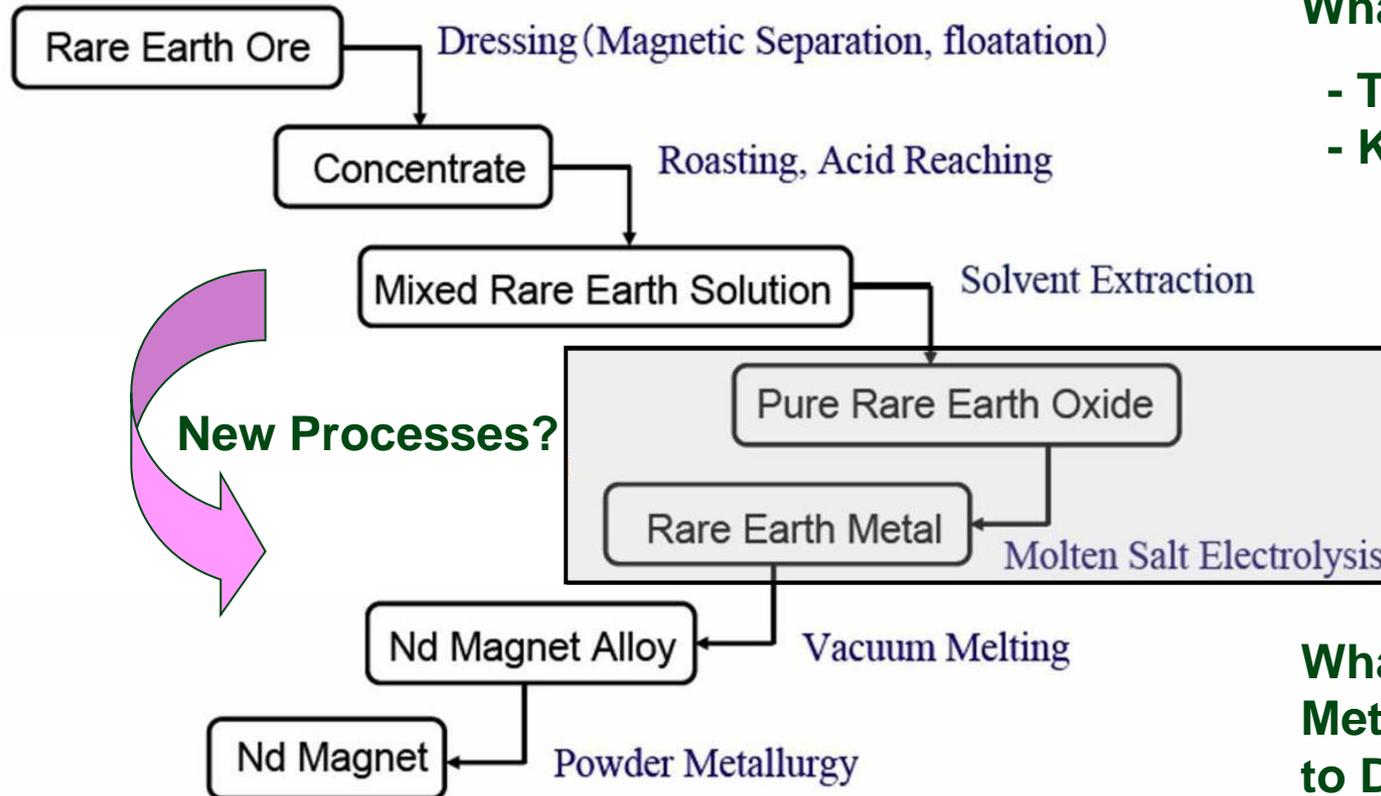
EMI Filter      Power Stage:  
130 mm x 45 mm x 25 mm

300X reduction in power stage volume





## Process from Rare Earth Ore to Nd Magnet



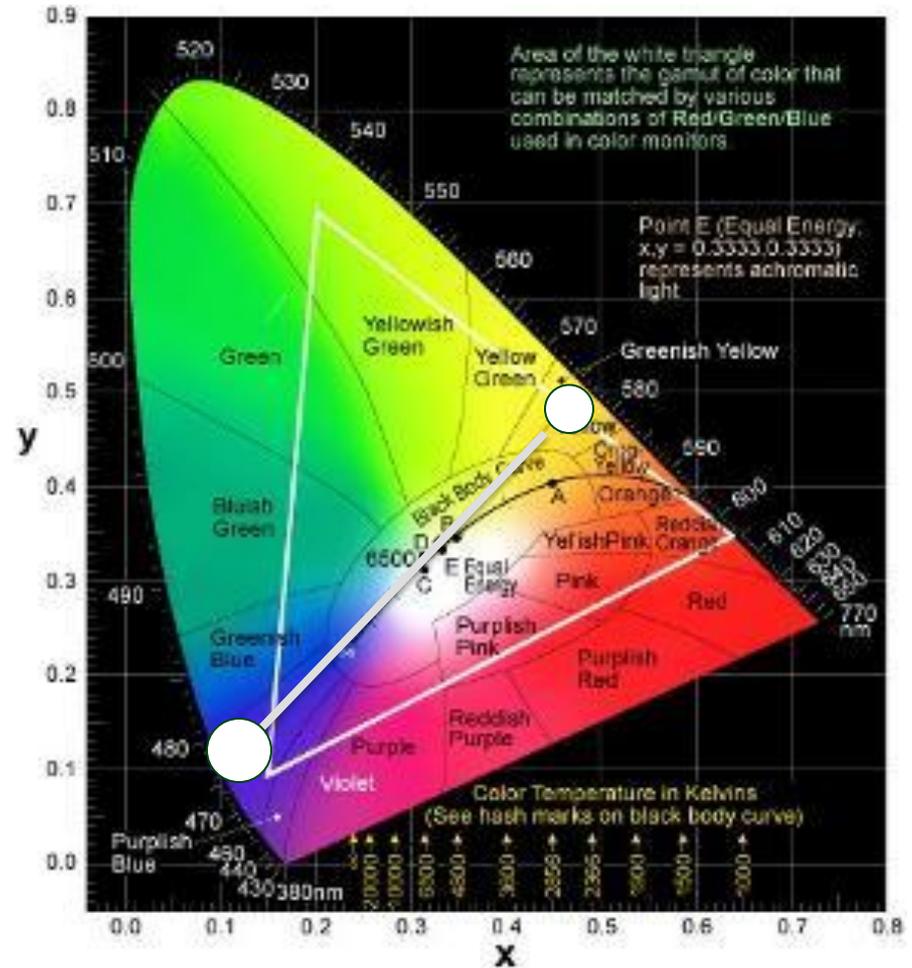
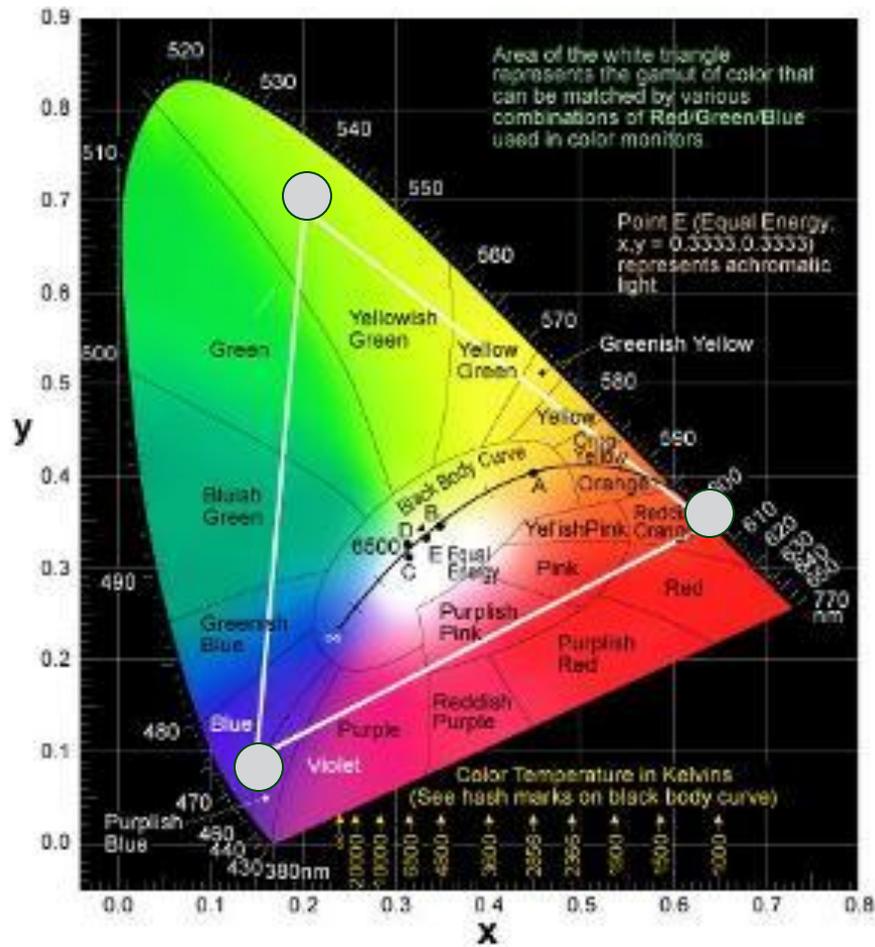
## What are Ultimate Limits?

- Thermodynamics
- Kinetics

## What are Economic Metrics and Goals to Drive Innovation?

Source: Takehisa Minowa Takehi, "Rare Earth Resources for Nd Magnetics: Their Present and Future," Magnetics Conference 2009

# Application: Phosphors



# DEMAND SIDE: CATALYSTS

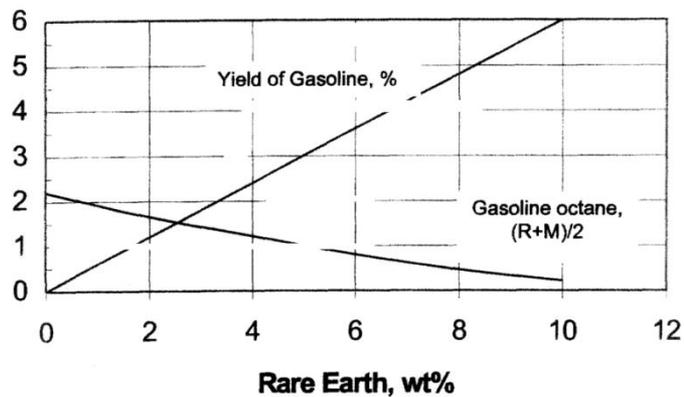
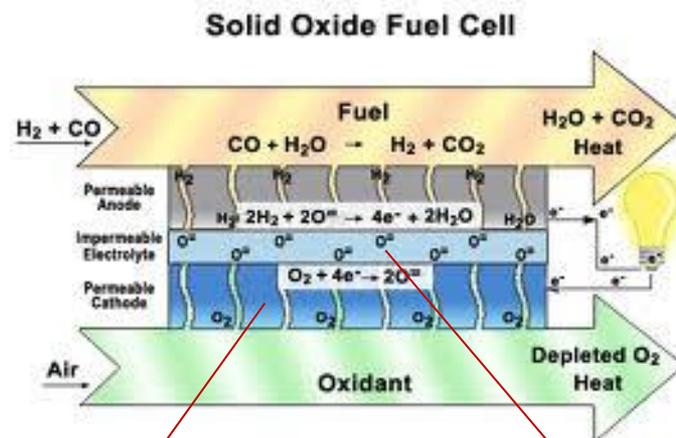


Figure 3-6. Effects of rare earth on gasoline octane and yield.



LSM Cathode

YSZ Separator



- Supply Side:
  - **Direct, Low Cost, Low Environmental Impact Processing Innovation**
- Demand Side Magnetics
  - **Hard Magnetics (Beyond U-Del and GE Projects)**
  - **New Motors + Power Electronics**
- Phosphors
  - **New chemistry, Shape and Size Effects**
  - **Low Defect (low non-radiative recombination) emitters**
- Catalysis and Separators
  - **SOFC Oxygen Permeable Ceramics and Cathodes**
  - **FCC and Post Combustion Catalysts**

## Two Big Outcomes Desired



- Identification of Technical Opportunity Which Would Potentially Have Significant Impact on Rare Earth Challenge
- Determination of Potential Relevant Metrics Which Would Drive Innovation

# Plan for the Day



Time	Activity	
8:00 AM	Registration / Coffee / Breakfast	
8:15 AM		
8:30 AM	Kick-Off Arun Majumdar (ARPA-E)	
8:45 AM	Overview of Day Mark Johnson (ARPA-E)	
9:00 AM	US-Japan Rapporteur Diana Bauer (DOE HQ/PI)	
9:15 AM	US-EU Rapporteur Bill McCallum (Ames)	
9:30 AM	Current State of the Art for Supply Brock O'Kelly (Molycorp)	
9:45 AM	Current State of the Art for Applications Talk #1 Steve Duclos (GE)	
10:00 AM	Current State of the Art for Applications Talk #2 Frederick Pinkerton (GM)	
10:15 AM	Break	
10:30 AM	SUPPLY 1 Karl Gschneidner (Ames)	MAGNETS 1 Steve Constantinides (Arnold Magnet)
10:45 AM	SUPPLY 2 Eric Peterson (INL)	MAGNETS 2 George Hadjipanayis (Univ. of Delaware)
11:00 AM	SUPPLY Short presentations, dialog and discussion Gallery 1  Facilitator: Eric Toone Rapporteur: Jim Miller ARPA-E Notes: Brenda, Karma	MAGNETS Short presentations, dialog and discussion Gallery 3  Facilitator: Danielson, Aron Rapporteur: Steven Boyd ARPA-E Notes: Nick, Rusty
11:15 AM		
11:30 AM		
11:45 AM		
12:00 PM		
12:15 PM	Break for Lunch	
12:30 PM	Keynote Cyrus Wadia (OSTP)	
12:45 PM		

1:00 PM	Break	
1:15 PM	CATALYSTS 1 Jim Katzer (Rive Technology)	PHOSPHORS 1 Jennifer Hollingsworth (Los Alamos)
1:30 PM	CATALYSTS 2 Scott Barnett (Northwestern University)	PHOSPHORS 2 Partha Dutta (RPI)
1:45 PM	CATALYSTS Short presentations, dialog and discussion Gallery 1  Facilitator: Shum, Karma Rapporteur: Chetna Khosla ARPA-E Notes: Rusty, Aron	PHOSPHORS Short presentations, dialog and discussion Gallery 3  Facilitator: Dave Danielson Rapporteur: Jim Horwitz ARPA-E Notes: Phil, Nick
2:00 PM		
2:15 PM		
2:30 PM		
2:45 PM		
3:00 PM		
3:15 PM		
3:30 PM	Report Out Gallery 2	
3:45 PM		
4:00 PM		
4:15 PM		
4:30 PM	Conclusion of Day Mark Johnson	
4:45 PM	"No Host" Happy Hour / Dinner (Hotel Bar) Sidebar Discussions with ARPA-E Program Director (On Request)	
5:00 PM		





- “A consensus means that everyone agrees to say collectively what no one believes individually.”
  - Abba Evan
  - The Goal is NOT Consensus
- “A *revolutionary idea* is usually one with its sleeves rolled up.”
  - Navjot Singh Sidhu
  - The Focus is on Revolutionary NOT Evolutionary
- “Every Great Oak is just a Nut that held its Ground.”
  - Anonymous
  - ALL Ideas are Welcome



- Bathrooms
- Internet / Cell phone service
- Dinner / Happy Hour
  - Starts 5pm in the Hotel Restaurant
- One-on-one discussions / sign-up
  - Starts at 5:45 in the Hotel restaurant